Spin correlations in the geometrically frustrated $RBaCo₄O₇$ antiferromagnets: **Mean-field approach and Monte Carlo simulations**

D. D. Khalyavin,¹ P. Manuel,¹ J. F. Mitchell,² and L. C. Chapon¹

1 *ISIS facility, Rutherford Appleton Laboratory, STFC, Chilton, Didcot, Oxfordshire OX11 0QX, United Kingdom*

2 *Materials Science Division, Argonne National Laboratory, Argonne, Illinois 60439, USA*

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Spin correlations in the geometrically frustrated *R*BaCo₄O₇ compounds, usually described as an alternating stacking of Kagome and triangular layers on a hexagonal lattice, have been studied by mean-field approach and by Monte Carlo simulations. The behavior of the system was modeled with an isotropic Heisenberg Hamiltonian as a function of the relevant parameter *Jout* /*Jin*, representing the ratio between exchange integrals inside the Kagome layers, *Jin*, and between Kagome and triangular layers, *Jout*. This ratio can be varied in real systems by appropriate chemical substitutions. At the mean-field level, long-range magnetic order with the wave vector at the *K* point of symmetry $(k = a^*/3 + b^*/3)$ has been found for $J_{out}/J_{in} > 0.7$. Below this value, the dominant Fourier modes are completely degenerate in the entire Brillouin zone. The Monte Carlo simulations revealed that the long-range ordered configuration found in the mean-field calculations becomes the ground state of the system for J_{out}/J_{in} > 1.5. Below this critical ratio, quasi-one-dimensional magnetic ordering along the *c* axis, involving spins of the triangular sublattice was observed. The correlations in the (ab) plane were found to have a short-range 120° character with correlation length dependent on J_{out}/J_{in} .

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I. INTRODUCTION

Understanding complex magnetic behavior in geometrically frustrated antiferromagnets has been the subject of numerous investigations over the last decades. The presence of frustrated spins often leads to the suppression of long-range magnetic ordering and promotes short-range correlations due to fluctuations between nearly or totally degenerated ground states.¹ These fluctuations, in particular. for "spin-liquid" regimes, extend up to very low temperatures far below the Curie-Weiss temperature) resulting in unusual static and dynamic magnetic states. Many complex rare-earth and transition-metal oxides crystallize with structures whose motif has the potential to exhibit geometrical frustration.² Wellknown examples are transition-metal spinels, $\frac{3}{3}$ jarosites⁴ and rare-earth titanates and stannates with pyrochlore structure.⁵ In these compounds, magnetic cations form two- or threedimensional sublattices which can be decomposed in triangular or tetrahedral units for which threefold symmetry is fundamentally incompatible with antiferromagnetic (AF) ordering, promoting large ground-state degeneracy.

Recently, a new potentially frustrated structure has been reported for $RBaCo₄O₇$ $RBaCo₄O₇$ $RBaCo₄O₇$ cobalt oxides.^{6,7} The hexagonal lattice of these compounds (P6₃mc symmetry) consists of corner sharing CoO4 tetrahedra arranged in alternating Kagome and triangular layers [Fig. $1(a)$ $1(a)$] stacked along the *c* axis. The sublattice formed by cobalt ions corresponds to a new exchange topology [Fig. $1(b)$ $1(b)$] where geometrical frustration is inherent to the presence of both trigonal bipyramids and triangular clusters.⁸ The former is an example of a new building unit which has never been observed before in other frustrated systems. Considering an isotropic Heisenberg Hamiltonian,

$$
H = -\frac{1}{2} \sum_{i,j} J_{i,j} S_i S_j,
$$
 (1)

where the spins S_i and S_j interacting with energy J_{ij} are represented by classical three-component unit vectors (the spin magnitude is included in the exchange parameters J_{ii} which are positive for ferromagnetic (F) interactions and negative for antiferromagnetic ones), the level of geometric frustration⁹ in a cluster can be defined with a constraint function, $F_c = E_f / E_b$, where E_f is the energy of the ground state of the frustrated spin arrangement and E_b is the "basis" energy of the hypothetical spin arrangement in which all interactions between pairs of spins are satisfied. F_c ranges from -1 (nonfrustrated case) to $+1$ (fully frustrated case). For canonical frustrated units such as triangle and tetrahedron, noncollinear configurations satisfying $\Sigma_i S_i = 0$ condition are expected to be the ground states. These are for instance well known 120° (for triangle) and 109° (for tetrahedron) configurations with *F_c* equals to $-1/2$ and $-1/3$, respectively. In the latter case, the 109° configuration is not a unique ground state since any spin arrangement with Σ_i **S**^{*i*}=0 has equally low energy. In contrast, for a trigonal bipyramid with a unique exchange parameter between all nearest neighbors, the total zero moment is not the only condition for the ground state. As shown previously, $\frac{8}{3}$ only configurations with parallel apical spins can minimize the exchange energy in the cluster [Fig. $1(c)$ $1(c)$]. This additional condition restricts the number of ground states but does not reduce their number down to a single specific configuration as in the case of triangle. The internal degrees of freedom which can be varied without changing the energy of a bipyramidal cluster can be presented as two angular parameters indicated in Fig. [1](#page-1-0)(c) by θ and φ . Examples of some ground-state configurations with threedimensional and planar spin arrangements are given in Fig. [1](#page-1-0)(d). The energy of these configurations is $E_f = 3.5J$ which yields to the level of geometric frustration $F_c = -7/18$. Thus, the bipyramid can be classified between the triangle and the tetrahedron in the "hierarchy of frustrated units."

In real materials with triangular planar lattices, the noncollinear 120° structure occurs quite commonly^{4[,10](#page-10-9)[–12](#page-10-10)} while 109° long-range ordered spin configuration has been found only for one pyrochlorelike topology in FeF_3 .^{[13](#page-10-11)} Materials

FIG. 1. (Color online) (a) Schematic representation of the hexagonal $P6_3mc$ crystal structure of $RBaCo_4O_7$ as a corner-shared CoO4 tetrahedral network. Cobalt ions in Kagome and triangular layers are denoted as Co1 (red) and Co2 (blue), respectively. Four nonequivalent superexchange paths, J_1 , J_2 , J_3 , and J_4 are shown as solid lines. (b) Extended view of the exchange topology demonstrating corner-sharing triangular (dark shadow) and bipyramidal (with base triangles as light shadow) units. Note that dark and light triangles together form the Kagome layers. (c) Schematic representation of ground state spin configuration for a bipyramidal unit with equivalent in-plane and out-of-plane interactions. Internal degrees of freedom which can be varied without changing the energy of the cluster are indicated by angular parameters θ and φ (the first defines angles between spins in the planar geometry, when all spins in both Co1 and Co2 positions are parallel to a same plane; the second is responsible for taking the Co1 spins out of the planar configuration) (d) Example of two ground-state configurations with spatial (right) and planar (left) spin arrangements.

with tetrahedrally based lattices usually adopt short-range correlated phases such as spin ice or spin glass.² The situation with *RBaCo₄O₇* is not clear yet. Several neutron diffraction studies have been reported with contradictory conclusions. Soda *et al.*[14](#page-10-12) observed only short-range correlations in $YBaCo₄O₇$ single crystal and discussed the result based on model where the Kagome and triangular layers are decoupled and form spin configurations close to 120°. In contrary, a long-range magnetic ordering with intricate magnetic structure has been reported for polycrystalline sample of this composition below T_N =110 K.¹⁵ Above T_N , diffuse scattering was observed in a wide temperature range for both polycrystalline and single crystal samples and successfully modeled using a Monte Carlo method[.8](#page-10-7) One central issue is that $RBaCo₄O₇$ cobaltites experimentally studied so far have shown a lower symmetry than that of the ideal hexagonal structure (trigonal *P*31*c* or orthorhombic *Pna*2₁), which raise questions about possible modifications of certain exchange integrals and the associated lifting (even partial) of the frustration. Moreover, a high resolution diffraction study¹⁶ revealed that the oxygen content greatly influences the structural and magnetic behavior in those cobaltites, a parameter that complicates further the analysis and comparison of various experimental data.

In the present work, we consider spin correlations in the $RBaCo₄O₇$ cobaltites based on a mean-field approach and Monte Carlo simulations. We discuss the cases for the hexagonal *P*63*mc* and trigonal *P*31*c* symmetry but leave out the orthorhombic $Pna2₁$ one since the latter does not preserve the threefold symmetry of the bipyramidal and triangular units and therefore effectively removes the frustration. First, the exchange parameters are analyzed using extended Huckel tight-binding (EHTB) calculations which show that the nearest-neighbor interactions are very strong for these compositions. Relative strengths of the different exchange integrals are estimated for both hexagonal and trigonal phases based on the structural parameters derived experimentally. Then, mean-field calculations are performed for different sets of the exchange interactions. We show that for the hexagonal structure with nearly equivalent exchange parameters, longrange magnetic ordering can be stabilized with a tripled unit cell in the *(ab)* plane. This situation is different from the "classical" frustrated Kagome and pyrochlore lattices where no long-range order is predicted in the mean-field approximation due to the presence of dispersionless modes.¹⁷ The Monte Carlo simulations are conducted using two exchange parameters in the system representing, respectively, interactions inside the Kagome layers and between Kagome and triangular layers. Varying the ratio between these parameters, one observes a gradual transition from a quasi-onedimensional ordering of spins in the triangular lattice to the three-dimensional ordered configuration obtained in the mean-field calculations. Finally, based on the Monte Carlo simulations, neutron-scattering cross sections for different cuts of the reciprocal space were calculated and plotted as two-dimensional maps. These plots provide information about diffuse magnetic scattering necessary to interpret neutron-diffraction experiments.

II. EXCHANGE INTERACTIONS

As mentioned above, the structural motif of the $RBaCo₄O₇$ cobaltites consists of corner sharing $CoO₄$ tetrahedra arranged in alternating Kagome and triangular layers [Fig. $1(a)$ $1(a)$]. The strongly coupled units are expected to be the neighbor tetrahedra linked by a common oxygen ion. The superexchange interactions responsible for the spin coupling are known to be determined by both geometrical factors related to a crystal lattice and the electronic structure of the solid.¹⁸ In the case of the parent hexagonal $P6₃mc$ structure, two possibilities can be distinguished: when atoms occupy the highly symmetric positions and when some atomic displacements allowed by the symmetry are present. For the former situation, all the superexchange paths are equivalent and there is a single exchange parameter, *J* whereas for the latter one, four parameters, J_1 , J_2 , J_3 , and J_4 , should be considered [Fig. $1(a)$ $1(a)$]; the first two inside Kagome planes (labeled as in-plane) and the last two between Kagome and adjacent triangular layers (labeled as out-of-plane). It is not straightforward to estimate the sign and strength of the exchange interactions in these compositions using the simple

Goodenough-Kanamory-Anderson rules,¹⁹ therefore a more semiquantitative approach was adopted by spin-dimer analysis based on molecular orbital electronic-structure calculation[.18](#page-10-16)[,20](#page-10-18) The analysis was performed with the aid of the package CAESAR 2.0 ^{[21](#page-10-19)}

Following Whangbo, Koo, and Dai¹⁸ it is convenient to write exchange parameter as $J = J_F + J_{AF}$, where the ferromagnetic term $J_F>0$ and antiferromagnetic $J_{AF}<0$. In general, J_F is small so that the spin exchange cannot be ferromagnetic unless the J_{AF} either vanishes or is very small in magnitude by symmetry. The latter can be approximated as J_{AF} $= (\Delta e)^2 / U_{eff}$, where Δe is the energy split due to orbitalorbital interaction and *Ueff* is an effective one site repulsion which is nearly constant for a given magnetic system. Thus, trends in J_{AF} are well approximated by those in the corresponding $(\Delta e)^2$. The energy split can be obtained from electronic structure calculations based on EHTB method, performed for an appropriate structural fragment representing a spin dimer.^{18[,20](#page-10-18)} These calculations were done for both hexagonal $P6₃mc$ and trigonal $P31c$ structures, taking as electronic basis 4*s*, 4*p* single-zeta and 3*d* double-zeta Slater-type orbitals (STO) of cobalt and 2s, 2p double-zeta STO of oxygen. The double-zeta STO, χ_{μ} , is defined as linear combination of two exponential functions:

$$
\chi_{\mu} \propto r^{n-1} [c_1 e^{-\xi_1 r} + c_2 e^{-\xi_2 r}] Y(\Theta, \Phi)
$$

where *n* is the principal quantum number, ξ_i are the exponents and $Y(\Theta, \Phi)$ is the appropriate spherical harmonics. The exponents ξ_1 and ξ_2 in the radial part of STO describe the compression and diffuseness of the orbital, respectively.²² In the case of a single-zeta STO, $c_2=0$. The valence state ionization potentials of these orbitals used for the construction of the matrix representation of the effective one-electron Hamiltonian, $H_{\mu\nu} = \langle \chi_{\mu} H_{eff} \chi_{\nu} \rangle$ were taken to be -9.21, −5.29, −13.18, −32.3, and −14.8 eV, respectively[.23](#page-10-21) At first, the calculations were done for the ideal hexagonal structure where all tetrahedral units are perfectly symmetric (equivalent superexchange paths). For simplicity, we considered only contribution to Δe coming from t_{2g} orbitals which are expected to be magnetic in the case of \check{Co}^{2+} $(e_g^4 t_{2g}^3)$ ions. We use t_{2g} and e_g notations for the molecular orbitals of the dimer with the largest weighting coefficients of the corresponding 3*d* atomic orbitals of cobalt. The contribution caused by e_g orbitals, one of which is magnetic for Co^{3+} $(e_{g}^{3}t_{2g}^{3})$ ions, is negligible because of their small interaction and low concentration of $Co³⁺$. Also, possible charge ordering between Co^{2+} and Co^{3+} proposed in the early reports^{6[,7](#page-10-6)} was subsequently disproved by the detailed structural investigations.^{15[,16](#page-10-14)} A part of the electronic diagram demonstrating the energy splitting due to interaction of t_{2g} molecular orbitals in the $CoO₄-CoO₄$ dimer is shown in Fig. [2.](#page-2-0) The large value of $(\Delta e)^2$ ~ 1 40 000 meV² caused by strong orbital overlapping points to a dominant role of the J_{AF} term and predicts very strong antiferromagnetic interactions in agreement with experimental observations[.15](#page-10-13)[,24](#page-10-22)

As mentioned above, actually, the hexagonal $P6₃mc$ symmetry allows some atomic shifts to be present which, in the most general case, split the single exchange parameter of the ideal structure into a set of four nonequivalent ones. The

FIG. 2. (Color online) Splitting of t_{2g} energy level due to orbital-orbital interactions in $CoO₄-CoO₄$ dimer. Right side demonstrates antibonding (top) and bonding (bottom) molecular orbitals corresponding to the largest energy splitting. In between the molecular orbitals, the structural fragment representing the spin dimer is shown.

absence of reliable structural data related to the hexagonal phase, which might only be stabilized at high temperature but not yet observed, makes it difficult to estimate the dispersion of the exchange parameters introduced by these shifts. The simplest way to quantify this effect is to use the mode decomposition analysis described for $TmBaCo₄O₇$ in Ref. [25.](#page-10-23) Starting from the structural parameters refined in the trigonal *P*31*c* space group, the distortions were decomposed in terms of symmetry adapted displacement modes transforming such as basis functions of the Γ_1 and Γ_3 irreducible representations (irreps) of the $P6_3mc$ space group. The distortions related to the Γ_1 irrep are secondary in the *P*31*c* structure and their presence is expected in the $P6₃mc$ one as well. Thus, by putting the amplitudes of the Γ_3 modes to zero and generating new coordinates involving only Γ_1 displacements, we can estimate different values of *J*s in the "distorted" hexagonal structure. The relevant analysis using ISO-DISPLACE software 26 shows that all the exchange parameters are very close to those found for the ideal case. The largest difference is less than 5%. Thus, with a good approximation we can use just a single exchange parameter for the hexagonal structure.

The spin-dimer analysis was then performed for the *P*31*c* trigonal phase using the structural data from Ref. [25.](#page-10-23) The trigonal distortions do not introduce additional splitting of the exchange parameters and such as in the case of the hexagonal symmetry there are four *J*s not constrained by symmetry to be equal [Fig. $1(a)$ $1(a)$]. The analysis revealed that the difference between the two in-plane interactions, J_1 and J_2 , is again very small \sim 4% (J_2 =0.96 J_1). To simplify the evaluation of the out-of-plane exchange parameters coupling crystallographically nonequivalent cobalt ions, we did not take into account the fact that t_{2g} orbitals are not degenerated in the presence of the trigonal distortions. With this simplification, the estimated values for J_3 and J_4 were found to be $0.74J_1$ and $0.82J_1$, respectively. Based on this result, one can conclude that the symmetry lowering down to trigonal *P*31*c* affects mainly the out-of-plane interactions. Experimentally, a change in the trigonal distortions by external pressure can essentially modify these interactions. Selective substitutions such as for instance Al^{3+} or Zn^{2+} which preferably occupy triangular or Kagome sublattices, $27-30$ respectively are also an effective way to alter the relative strengths of interactions inside Kagome and between Kagome and triangular layers. In the following consideration, we therefore mainly restrict our discussion to the idealized case of two exchange parameters; one in-plane, $J_{in} = J_1 = J_2$ and one out-of-plane, J_{out} $=J_3=J_4.$

III. MEAN-FIELD APPROACH

Next, we investigate the ordered magnetic phases in the $RBaCo₄O₇$ system, within mean-field approximation and classical spin Hamiltonian ([1](#page-0-0)), which in the case of an extended solid with a crystalline structure can be written as

$$
H = -\sum_{i,j} \sum_{R,R'} J_{i,j}^{RR'} S_i^R S_j^{R'},
$$
 (2)

where $J_{i,j}^{RR'}$ is the exchange integral between spin S_i in the primitive cell with a lattice vector \boldsymbol{R} and spin S_j in the cell with a lattice vector \mathbb{R}' . We follow the approach used by Reimers, Berlinsky, and Shi.¹⁷ The translation symmetry implies that the magnetic order parameters, $\sigma_i^{\textbf{R}}$, representing the mean value of the spins on sites *i* in a cell with lattice vector *R*, can be expressed as a Fourier series

$$
\sigma_i^R = \sum_k \sigma_i^k e^{-ikR},\tag{3}
$$

where the sum is taken over all propagation vectors, k , involved in the transition to the ordered state. Analogously, the spin-spin interaction matrix, ξ_{ij} , in terms of its Fourier components can be written as

$$
\xi_{ij} = -\sum_{R'} J_{ij}^{R'} e^{-ikR'}.
$$
 (4)

Diagonalization of quadratic part of the mean-field freeenergy requires transforming into the normal modes of the system and solving the eigenvalue problem

$$
-\sum_{j}\left[\sum_{R'}J_{ij}^{R'}e^{-ikR'}\right]\sigma_{j}=\lambda(k)\sigma_{i}.
$$
 (5)

The vector *k* minimizing the lowest eigenvalue, λ_{min} , of the interaction matrix $[Eq. (4)]$ $[Eq. (4)]$ $[Eq. (4)]$, for a given set of exchange parameters in the system is the propagation vector of the magnetic structure occurring just below the transition temperature $(T_{cr} = -\lambda_{min}/3k_B)$ from the disordered state. The corresponding eigenvector, σ , yields the Fourier coefficients, σ_i^k , of the spin configuration with the exchange energy λ_{min} per unit cell. This spin configuration is usually referred to as the first ordered state. If the eigenvector keeps the magnitude of the spins for each site constant $\left(\left| \sigma_i^k \right| = \frac{1}{\sqrt{N}}; i = 1, 2, \dots N, N \right]$ is number of spins in a unit cell) then, following Luttinger and Tisza theorem, 31 the first ordered state is simultaneously the ground state of the system. If this condition is not satisfied then the symmetry and periodicity of the ground state can be different and additional transitions can take place below the

TABLE I. Structural parameters for Co ions in the hexagonal $P6₃mc$ space group. The coordinates are given for the highly symmetric positions in the primitive unit cell with the lattice translation $(0,0,0).$

Atom(site)	No.	x, y, z	Neighbor No. (lattice translation, \mathbf{R})
$\text{Col}(2a)$	1	0,0,7/16	$3(0,-1,0), 4(0,0,0), 5(-1,-1,0)$
$\text{Col}(2a)$	2	0,0,15/16	$6(-1,0,0), 7(0,0,0), 8(-1,-1,0)$ $3(0,-1,0), 4(0,0,0), 5(-1,-1,0)$ $6(-1,0,1), 7(0,0,1), 8(-1,-1,1)$
Co2(6c)	3	1/6,5/6,11/16	1(0,1,0), 2(0,1,0), 4(0,0,0)
			$4(0,1,0), 5(0,0,0), 5(-1,0,0)$
Co2(6c)	4	$1/6$, $1/3$, $11/16$	1(0,0,0), 2(0,0,0), 3(0,0,0)
			$3(0,-1,0), 5(0,0,0), 5(-1,-1,0)$
Co2(6c)	5	2/3,5/6,11/16	1(1,1,0), 2(1,1,0), 3(0,0,0)
			3(1,0,0), 4(0,0,0), 4(1,1,0)
Co2(6c)	6	5/6,1/6,3/16	$1(1,0,0), 2(1,0,-1), 7(0,0,0)$
			$7(1,0,0), 8(0,0,0), 8(0,-1,0)$
Co2(6c)	7	$1/3$, $1/6$, $3/16$	$1(0,0,0), 2(0,0,-1), 6(0,0,0)$
			$6(-1,0,0), 8(0,0,0), 8(-1,-1,0)$
Co2(6c)	8	5/6,2/3,3/16	$1(1,1,0), 2(1,1,-1), 6(0,0,0)$
			6(0,1,0), 7(0,0,0), 7(1,1,0)

critical temperature of the magnetic ordering. In the case when equivalent minima occur for more than a single wave vector, then the first-ordered state is expected to be a linear combination of the corresponding eigenvectors.

The mean-field calculations for Kagome and pyrochlore systems have been done by Reimers, Berlinsky, and Shi.¹⁷ An unusually high degree of degeneracy has been found when taking only antiferromagnetic nearest-neighbor interactions into account. In this case, some of the Fourier modes are completely degenerate for all wave vectors in the first

FIG. 3. Dispersion relations for the eigenvalues of the exchange matrix [Eq. (6) (6) (6)] for various J_{out}/J_{in} ratios along some lines of symmetry of the first Brillouin zone of the $P6₃mc$ space group.

Brillouin zone and no long-range order is possible for both systems. Let us consider now the ordered states in $RBaCo₄O₇$. We will include only interactions between nearest neighbors, which according to the spin-dimer analysis are

$$
-\begin{pmatrix} 0 & 0 & J_4e^{ik_y} & J_4 & J_4e^{i(k_x+k_y)} & J_3e^{ik_x} & J_3 & J_3e^{i(k_x+k_y)} \\ 0 & 0 & J_3e^{ik_y} & J_3 & J_3e^{i(k_x+k_y)} & J_4e^{i(k_x-k_z)} & J_4e^{-ik_z} & J_4e^{i(k_x-k_z)} \\ J_4 & J_3 & J_4+J_2e^{ik_y} & 0 & J_1+J_2e^{ik_x} & 0 & 0 & 0 \\ J_4 & J_3 & J_4+J_2e^{ik_y} & 0 & J_1+J_2e^{i(k_x+k_y)} & 0 & 0 & 0 \\ J_3e^{-i(k_x+k_y)} & J_4e^{-i(k_x+k_y)} & J_1+J_2e^{-i(k_x-k_y)} & 0 & 0 & 0 & 0 \\ J_3 & J_4e^{ik_z} & 0 & 0 & 0 & 0 & J_1+J_2e^{-ik_x} & J_1+J_2 \\ J_3e^{-i(k_x+k_y)} & J_4e^{-i(k_x+k_y-k_z)} & 0 & 0 & 0 & J_1+J_2e^{ik_x} & 0 & J_1+J_2e^{-i(k_x+k_y)} \\ J_3e^{-i(k_x+k_y)} & J_4e^{-i(k_x+k_y-k_z)} & 0 & 0 & 0 & J_1+J_2e^{-ik_y} & J_1+J_2e^{-i(k_x+k_y)} & 0 \end{pmatrix}
$$

Diagonalization of the matrix for different values of *J*s and *k* was done numerically with the aid of the program ENERMAG. 32 For each set of exchange integrals, we have investigated the dispersion relations by varying the *k* vector on the surface and inside the first Brillouin zone. Although the hexagonal *P*63*mc* and trigonal *P*31*c* symmetries allow four exchange parameters to be nonequivalent, we will first analyze two simplified situations, (i) when all the exchange integrals are identical, $J_1 = J_2 = J_3 = J_4 = J$ and (ii) when in-plane interaction $J_{in} = J_1 = J_2$ is not equivalent to the out-of-plane one $J_{out} = J_3 = J_4$. The former case corresponds to the idealized parent hexagonal structure, the latter to the idealized trigonal one. The obtained dispersion relations along some lines of symmetry are presented in Figs. [3](#page-3-2) and [4.](#page-4-1) The result revealed that in the most symmetric case with the single exchange parameter in the system, the first-ordered state is

FIG. 4. (Color online) Dispersion curve demonstrating minimum at the *K* point of symmetry for the case of equivalent in-plane and out-of-plane interactions. Inset shows the corresponding spin configuration (view along the c axis). Spins in triangular and Kagome sublattices are shown by large (blue) and small (red) arrows, respectively.

extremely strong in these compositions. Using the structural parameters summarized in Table [I,](#page-3-1) the exchange matrix Eq. (4) (4) (4)] for a general case of the four nonequivalent exchange parameters can be written as

 *J*3*eikx J*³ *J*3*eikx*+*ky*- *J*4*eikx*−*kz*- *J*4*e*−*ikz J*4*eikx*+*ky*−*kz*- 00 0 00 0 0 0 0 00 *J*¹ + *J*2*e*−*ikx J*¹ + *J*2*eiky J*³ *J*4*eikz* 0 0 0 *J*¹ + *J*2*eikx* 0 *J*¹ + *J*2*eikx*+*ky*- 00 0 *J*¹ + *J*2*e*−*iky J*¹ + *J*2*e*−*ikx*+*ky*- 0 . 6-

not degenerate. The wave vector at the *K* point of symmetry $(k=a^*/3+b^*/3)$ minimizes the lowest eigenvalue (λ_{min}) $=-3|J|$) of the exchange matrix [Eq. ([6](#page-4-0))]. The related eigenvector is given in Table II (Ph1). The spin arrangement in the corresponding ordered state is shown in the inset of Figs. [4](#page-4-1) and [5](#page-5-1) presents the structure as two separate layers with the cobalt fractional coordinates $z < 0.5$ and $z > 0.5$. In this magnetic structure, the equatorial spins (Co1) in the base triangles of the bipyramidal units are parallel to each other and antiparallel to the apical spins (Co2). The magnitude of the latter is twice as large as that of the equatorial spins so the sum over all the magnetic moments in the cluster does not equal zero as it is expected for the ground state configuration. On the contrary, the spins in the triangular units linking different bipyramidal clusters are arranged to create 120° configuration with zero total moment and uniform chirality within the (ab) plane. In the neighboring planes, the chirality has opposite sign (Fig. [5](#page-5-1)).

Thus, the calculations demonstrate the crucial difference between the ideal bipyramidal topology of $RBaCo₄O₇$ and tetrahedral pyrochlore lattice where no long-range order is predicted in the mean-field approximation. As previously mentioned, all compositions of the $RBaCo₄O₇$ series investigated up to now have symmetry lower than hexagonal. Due to stereochemical effects the actual symmetry is either orthorhombic $Pna2₁$ or trigonal $P31c$. The latter is of special interest since it preserves the threefold axis of the bipyramidal and triangular units. As it was shown based on EHTB calculations, the symmetry lowering down to trigonal *P*31*c* affects mainly the out-of-plane interactions. Dispersion relations for different ratios of J_{out}/J_{in} are presented in Fig. [3.](#page-3-2) Decreasing the magnitude of the out-of-plane interactions results in an increase in the energy of the lowest eigenvalue at the *K* and *M*-points. However, the difference in energy at these two points is always very small $(\sim 1\%)$. The important feature of the obtained dispersion curves is the presence of the two low lying dispersionless branches whose energy does not depend

a Eigenvectors for −*k* are complex conjugated to those for *k*.

^bFor the POS state, the spin configuration is expected to be a linear combination of the two eigenvectors with equivalent eigenvalues.

on the out-of-plane interaction and is entirely determined by the in-plane one $(\lambda_{deg} = -2|J_{in}|)$. At the critical value of $J_{out}/J_{in} \sim 0.7$, these dispersionless branches become the lowest eigenvalues of the exchange matrix $[Eq. (6)]$ $[Eq. (6)]$ $[Eq. (6)]$. The presence of these degenerate modes prevents long-range magnetic ordering in compositions where the ratio J_{out}/J_{in} is below the critical one. The situation becomes very similar to that discussed by Reimers, Berlinsky, and Shi 17 for the pyrochlore lattice. Thus, this result in combination with the spin-dimer analysis, points to the fact that symmetry lowering down to trigonal *P*31*c* can effectively contribute to a destabilization of the long-range magnetic ordering in the $RBaCo₄O₇$ compositions.

By varying J_{in} and J_{out} in the interval $[-5, 5]$, the complete phase diagram for the simplified case of the two exchange parameters was obtained [Fig. $6(a)$ $6(a)$]. The Fourier coefficients of the ordered states which can be stabilized in these conditions are presented in Table [II.](#page-5-0) In the case of negative exchange interactions in the Kagome layers *Jin*

 $<$ 0), the magnetic state is either disordered (DS) due to the dominance of the dispersionless modes or ordered with the propagation vector $(k = a^*/3 + b^*/3)$. The phase boundaries between these states are straight lines whose slope is determined by the ratio J_{out}/J_{in} and are symmetric with respect to the sign of the out-of-plane interactions. It should be pointed out, that there is a difference between the spin configurations of the ordered phases with the $a^*/3+b^*/3$ periodicity for negative (Ph1) and positive (Ph2) out-of-plane interactions (Table [II](#page-5-0)). The difference relates to the mutual orientation of the apical spins in the bipyramidal clusters with respect to the ferromagnetic moments of the base triangles. The orientation is antiparalell for J_{out} < 0 (Ph1) and parallel for J_{out} > 0 (Ph2). Positive in-plane interactions $(J_{in} > 0)$ stabilize nonfrustrated ferrimagnetic (Fi) (where spins in Kagome and triangular layers are antiparalell) and ferromagnetic configurations for J_{out} <0 and J_{out} >0, respectively.

Finally, let us briefly discuss more complex situations, when there are more than two exchange parameters in the

FIG. 5. (Color online) Spin configuration corresponding to the ordered state at *K* point of symmetry for the case of equivalent in-plane and out-of-plane interactions. Spins in triangular and Kagome sublattices are shown by large (blue) and small (red) arrows, respectively. Two layers demonstrating ordering of the magnetic moments in the (ab) plane for Co ions with fractional coordinates $z < 0.5$ (left) and $z > 0.5$ (right) are presented. In between, a structural fragment consisting of two bipyramidal clusters shared along the c axis is shown. The "+" and " $-$ " signs for the triangular units [shown in Fig. [1](#page-1-0)(b) as dark shadow clusters] denote the sign of the chirality, defined as the rotation of spins as one goes around a triangle. The sign is positive/negative if anticlockwise/clockwise rotation takes place.

FIG. 6. Magnetic phase diagrams representing the stability of different states at some combinations of the exchange parameters. DS (no ordering), POS (ordering present only in Kagome layers), Ph1, Ph2, and Ph12—noncollinear ordered configurations with tripled unit cell in the (ab) plane (the coupling between adjacent Kagome and triangular layer along the *c* axis is −−−− for Ph1, + +++ for Ph2 and ++-- for Ph12), Fi and F, respectively.

system. The following relations between the exchange parameters can be distinguished. (i) $J_1 = J_2 = J_{in} < 0$ and $J_3 \neq J_4$. If the out-of-plane interactions are not equivalent and inplane interactions equal each other and are negative, the phase boundaries between the ordered and disordered states are again straight lines. The corresponding phase diagram is shown in Fig. $6(b)$ $6(b)$ where the out-of-plane interactions J_3 and *J*⁴ are expressed in the units of the in-plane exchange parameter *Jin*. The diagram involves also a new ordered configuration denoted as Ph12 with a tripled unit cell in the (ab) plane. This phase is stabilized when J_3 and J_4 have different signs. The corresponding magnetic structure can be presented as a simple combination of the phase Ph1 and Ph2 (Table II). The behavior of the system can also be clearly presented if one introduces an average out-of-plane exchange parameter, J_{out_aver} . When J_3 and J_4 have the same signs, the J_{out_aver} parameter can be defined as: $J_{out_aver} = (J_3 + J_4)/2$ and the phase diagram in terms of *Jout*_*aver* and *Jin* coincides with that shown in Fig. [6](#page-6-0)(a) $(J_{out_aver}$ instead of J_{out}). When J_3 and J_4 have opposite signs it is convenient to define: $J_{out_aver} = (J_3)$ $-J_4$)/2 and in this case, the phase diagram includes the ordered configurations Ph12 and AF (see Table II) instead of Ph1/Ph2 and F/Fi, respectively. (ii) $J_1 = J_2 = J_{in} > 0$ and J_3 $\neq J_4$. When in-plane interactions are positive only nonfrustrated collinear ferromagnetic, ferrimagnetic or antiferromagnetic configurations with $k=0$ are stable for any signs and values of J_3 and J_4 (not shown). (iii) $J_1 \neq J_2$ and $J_3 = J_4$ =*Jout*. For the case of non-equivalent in-plane interactions and equivalent out-of-plane ones, the phase diagrams are presented in Fig. [6](#page-6-0)(c) $(J_{out} < 0)$ and 6(d) $(J_{out} > 0)$. The J_1 and J_2 are expressed in the units of J_{out} . The critical lines in the diagrams do not depend on the sign of *Jout*. The difference between these cases relates to which ordered configurations with the $k = a^*/3 + b^*/3$ and $k = 0$ periodicities are stabilized (Ph1 or Ph2 and F or Fi). The phase boundary between the ordered and disordered states is not a straight line and depends also on the J_1 / J_2 ratio. When $J_1 > 0$ and J_2 <0, a partially ordered state (POS) can be stabilized in a wide range of the phase diagram. Spin ordering in the POS occurs only in Kagome layers whereas the triangular sublattice remains disordered (Table II). It should be pointed out that besides the example considered here, one of the possible candidate for the exotic POS is $Gd_2Ti_2O_7$ pyrochlore, where the neutron-diffraction experiments 33 revealed that 3/4 of the Gd^{3+} spins ordered within Kagome planes whereas the spins in triangular layers remained disordered. This phase was then found in the mean-field calculations by Cepas and Shastry.³⁴ (iv) $J_1 \neq J_2$ and $J_3 \neq J_4$. This most general situation can be presented in terms of the in-plane interactions J_1 and J_2 expressed in units of the average out-of-plane parameter, $J_{out\;aver}$, defined above. When both the out-of-plane interactions J_3 and J_4 are either positive or negative, the phase diagrams are the same as shown in Fig. $6(c)$ $6(c)$ ($J_{out_aver} < 0$) and $6(d)$ $6(d)$ ($J_{out_aver} > 0$). If J_3 and J_4 have different signs, the phase Ph1 in the diagram shown in Fig. $6(c)$ $6(c)$ should be replaced by Ph12 and the phase Fi by the AF one.

IV. MONTE CARLO SIMULATIONS

To explore ground state configuration(s) of classical spins in the bipyramidal topology of $RBaCo₄O₇$, we used the direct Monte Carlo algorithm^{35[,36](#page-10-32)} with Hamiltonian ([2](#page-3-3)). The simulations have been done for a box containing 45 864 spins (a $21 \times 21 \times 13$ supercell) with open boundary conditions. The size of the box was chosen to estimate spin correlations up to tenth neighbors along the *c* direction and up to ninth/ eighteenth neighbors in the (ab) plane for triangular/Kagome sublattice. The spins in the unit cells located on the surface of the box were not taken into account for calculating the correlations to minimize possible boundary effects. Only the simplified situation with two antiferromagnetic exchange parameters in the system, $J_{in} = J_1 = J_2$ and $J_{out} = J_3 = J_4$ was modeled. A simulated annealing was performed from a temperature $T/J = 100$ down to $T/J = 0.01$ $(J = J_{in}$ if $J_{in} > J_{out}$ and *J* $=J_{out}$ if $J_{in} < J_{out}$) with a cooling rate of 0.96 between the temperature steps. At each temperature, the program performed $10⁴$ flips per spin using the standard Metropolis method[.35](#page-10-31) For each particular ratio *Jout* /*Jin*, the simulation was run ten times to average residual fluctuations and to maximize the quality of scattering calculations. Based on the obtained spin configurations, the static spin-spin correlation functions $\langle \langle S(0)S(i_R) \rangle = \sum_j S_j S_{j+i_R}$ along different directions R (*i_R* is *i*th neighbor along the direction R) and the magnetic structure factor,

$$
F(q) = \frac{\gamma r_0}{2N_xN_yN_z} \sum_i f_i(q) S_i e^{iqR_i},
$$

where γ is the neutron gyromagnetic ratio, r_0 is the freeelectron radius, N_x , N_y , and N_z are the supercell (21×21) \times 13) dimension and $f_i(q)$ is the magnetic form factor for $Co²⁺$ in the spherical approximation, for neutron-scattering experiments have been derived. The neutron-scattering intensity, $I(q)$, for a given scattering vector q , was then calculated using $I(q) = |e \times F(q) \times e|^2$, where *e* is the unit vector in the direction *q*. Scattering maps for different cuts of the reciprocal space smoothed using a standard Gaussian smoothing are presented in (Fig. [7](#page-8-0)). Several control simulations with different box sizes and number of flips per spin proved negligible boundary effects and good thermal equilibration for the conditions described above.

The most symmetric case with a single exchange parameter in the system $(J_{in} = J_{out})$ has been discussed in the work by Manuel *et al.*[8](#page-10-7) It was shown that the presence of cornersharing bipyramids leads to quasi one-dimensional order along the *c* axis in the apical Co2 site whereas 120° correlations of these spins in the *(ab)* plane decay rapidly and do not exceed several unit cells as typically observed in spin liquids. The ground-state condition $\Sigma_i S_i = 0$, for each bipyramidal unit imposes the existence of analogous correlations in the Kagome layer as well [Fig. $1(c)$ $1(c)$]. To demonstrate this let us introduce a resultant magnetic moment, S_{Σ} , consisting of the three spins lying in the base of a trigonal bipyramid Fig. $8(a)$ $8(a)$]. One can see that the normalized correlation functions $\langle S_{\Sigma}(0)S_{\Sigma}(i_{R})\rangle$ along the *c* direction and in the *(ab*) plane are very similar to those calculated for the apical spins in the Co2 position [Figs. $8(b)-8(e)$ $8(b)-8(e)$]. This effect is known for the pyrochlore lattice as well, where the ground-state condition for tetrahedral units has been shown to result in a few longrange correlations along some high-symmetry directions.³⁷ However, it should be pointed out that the one-dimensional ordering of spins in the Co2 site is a remarkable consequence of the unique exchange topology of $RBaCo₄O₇$ compounds and has no analogy in other known frustrated systems.

When the value of the out-of-plane interaction approaches zero, the system is expected to behave as a set of effectively decoupled Kagome layers. This scenario was discussed by Schweika, Valldor, and Lemmens 38 as the origin of the magnetic diffuse scattering signal observed for Y_0 , Ca_0 , $BaCo_4O_7$. The authors suggested that the cobalt ions in the triangular sublattice were in a low-spin diamagnetic state. However, this model was not supported by the single crystal neutron-diffraction experiments performed for the YBaCo₄O₇ composition.⁸ The observed magnetic diffuse scattering was successfully modeled only when spins in the triangular sublattice were participating actively in the exchange interactions. The simulated neutron diffraction patterns for the case of decoupled Kagome layers are shown in Fig. $7(a)$ $7(a)$. The scattered intensity is not localized as sharp spots along the c^* axis in contrast with the experimental data obtained for $YBaCo₄O₇$.^{[8](#page-10-7)}

For a finite value of J_{out} even in the case of $J_{in} > J_{out}$, the correlation length along the *c* axis is much larger than in the (ab) plane (Figs. [8](#page-9-0) and [9](#page-9-1)). This fact results in a strongly anisotropic simulated neutron scattering in the (hhl) reciprocal plane [Figs. $7(b) - 7(e)$ $7(b) - 7(e)$]; the intensity is well localized along the c^* axis and much less along the $a^* + b^*$ direction. Apparently, one can always speak about "quasi"-long-range correlations along the *c* direction, although modeling situations with very weak out-of-plane interactions is a difficult task because residual fluctuations become strong perturbations in this case. Increasing *Jout* results in a gradual increase in the 120° correlations in the *(ab)* plane and, at the ratio $J_{out}/J_{in} = 1.5$, a transition to a three-dimensional long-range order takes place (Fig. [9](#page-9-1)). The indicator of the ordered state is a negligibly slow decay of the correlation function in all directions (Fig. 8) as well as sharp Bragg spots in the simulated diffraction patterns [Fig. $7(f)$ $7(f)$]. The character of the correlations [Figs. $8(b)-8(f)$ $8(b)-8(f)$] and the diffraction picture [Fig. $7(f)$ $7(f)$ both point to the tripled unit cell (wave vector k $= a^* / 3 + b^* / 3$ and are consistent with the spin configuration of the Ph1 obtained above in the mean-field calculations [Fig. [9](#page-9-1)(bottom), see also Fig. [5](#page-5-1)]. In this configuration, all spins in a bipyramidal unit are parallel (Co1 site) or antiparallel (Co2 site) to some direction. In neighboring bipyramids linked via a triangular unit in a threefold cluster, these directions make an angle of 120°.

The transition to the three-dimensional long-range order coincides with the disappearance of the internal degrees of freedom in the bipyramidal units as demonstrated in Fig. [10,](#page-10-35) where few ground-state configurations are shown for different values of the J_{out}/J_{in} ratio. For clarity, only one degree of freedom indicated by the angular parameter θ is shown (planar configurations). To present these configurations we used the fact that renormalizing apical Co2 spins is equivalent to changing the *Jout* exchange parameter. In this case, the Hamiltonian of the cluster can be written in a form similar to that given in Ref. [8](#page-10-7)

$$
H = -\frac{J_{in}}{2}[(S_1 + S_2 + S_3 + S_4^* + S_5^*)^2 - 2S_4^*S_5^* - C],
$$

where S_i^* are renormalized apical spins $(S_i^* = \frac{J_{out}}{J_{in}} S_i)$ and *C* is a constant $(C = S_1^2 + S_2^2 + S_3^2 + S_4^*^2 + S_5^*^2)$. This expression clearly shows that the minimum of the energy corresponds to zero total moment and parallel apical spins. Below the critical ratio $J_{out}/J_{in} = 1.5$, the angular parameter θ is not fixed in the ground state. The range of values over which this parameter can vary without changing energy of the cluster depends on the J_{out}/J_{in} and decreases with increasing this ratio. For $J_{out}/J_{in} = 1.5$ the ground-state conditions require all spins in the base triangle (Co1 position) to be antiparallel to the apical spins (Co2 position). Thus, the only possible value of θ is zero and therefore this configuration is not degenerated.

As mentioned above, spin correlations in the Kagome layers can be expressed using effective moments S_{Σ} which are correlated in the same way as spins in the triangular sublattice [Figs. $8(b)-8(e)$ $8(b)-8(e)$]. Considering each spin in the Kagome layers (Co1 position) separately, one can distinguish two

FIG. 7. (Color online) Simulated neutron-scattering cross sections in the *(hk0)* and *(hhl)* reciprocal planes. Simulation done for the supercells obtained in the Monte Carlo runs with different J_{out}/J_{in} ratios.

components; parallel and normal to S_{Σ} . The former, such as S_{Σ} , has a finite correlation length which depends on J_{out}/J_{in} ratio. The latter can be considered as disordered. Very shortrange correlations between the normal components exists because the sum of these projections in all triangular clusters must be zero in the ground state but the length of these correlations does not exceed the size of the clusters for any J_{out}/J_{in} ratio. At the critical value of $J_{out}/J_{in} = 1.5$, where the

FIG. 8. (Color online) (a) A spin arrangement in a structural fragment demonstrating correlations of the resultant moments, S_{Σ} , in base triangles of bipyramidal units. $[(b-f)]$ Spin-spin correlation functions for the Co1 and Co2 sites along the *c* direction *R* $=[001]$) and in the *(ab*) plane $(R=[100], [010]$ and [110]) evaluated for different J_{out}/J_{in} ratios.

transition to the three-dimensional ordered state occurs, the disordered component vanishes.

Another extreme case is when the in-plane interactions are negligibly small $(J_{in} \sim 0)$. In this case, the long-range ordering along the *c* axis is preserved whereas correlations in the *(ab)* plane are completely lost. This situation can hardly be obtained in real systems and is therefore not of any practical interest.

V. CONCLUSIONS

The lattice of $RBaCo₄O₇$ compounds, consisting of corner-sharing CoO4 tetrahedra arranged in alternating Kagome and triangular layers, is an example of a new exchange topology exhibiting geometrical frustration. Superexchange paths with very strong antiferromagnetic interactions form bipyramidal clusters with high degree of frustration. These vertex-sharing clusters form chains running along the c axis. In the (ab) plane, the chains are coupled via triangular units to create a three-dimensional network. Considering nearest neighbors only, there are four nonequal exchange paths in the system; two inside Kagome layers and two between Kagome and triangular layers. In compositions with hexagonal symmetry, all the exchange parameters are expected to be nearly equal. However, appropriate chemical substitutions and/or the presence of trigonal distortions, as found experimentally, can modify the ratio between the outof-plane, *Jout*, and in-plane, *Jin*, interactions.

FIG. 9. (Color online) A part of $21 \times 21 \times 13$ supercell demonstrating spin arrangements obtained in Monte Carlo simulations with different J_{out}/J_{in} ratios. Perspective view along the *c* axis. Ordered rows of spins running along the *c* axis in the triangular sublattice are clearly visible in top and middle panels against a background of essentially disordered Kagome layers. For the case of $J_{out}/J_{in} = 1.5$ where the three-dimensional long-range ordering takes place (bottom), a magnetic unit cell in the *(ab)* plane is shown.

Within the mean-field approximation with an isotropic Heisenberg Hamiltonian, a long-range ordering at finite temperature with propagation vector at the *K* point of symmetry $(k=a^*/3+b^*/3)$ takes place for $J_{out}/J_{in} > 0.7$. Below this value, the dominant Fourier modes are dispersionless and no selection of a particular wave vector is possible. The meanfield ordered state consists of collinear bipyramidal clusters arranged in the (ab) plane to make 120° configurations. This spin structure becomes the ground state of the system also in the Monte Carlo simulations for $J_{out}/J_{in} > 1.5$. Below this critical ratio, a quasi-one-dimensional ordering of spins belonging to the triangular layers occurs along the *c* axis. The correlations of these spins in the (ab) plane are short-range with correlation length dependent on *Jout* /*Jin*. For spins in the Kagome layers two components can be distinguished, one of them is correlated in the same way as the spins in the triangular sublattice whereas another is disordered for any

FIG. 10. (Color online) Ground state spin configurations of a bibyramidal cluster at different ratios J_{out}/J_{in} (spins in the clusters are numerated $(S_i, i=1, \ldots, 5)$ and for each of them a cobalt position (Co1/Co2) is given in brackets. To keep the $\Sigma_i S_i = 0$ condition for the ground state, the values of spins in the triangular sublattice (Co2) were renormalized $(S_i^* = \frac{J_{out}}{J_{in}} S_i, i = 4, 5)$ whereas the spins in the Kagome layers (Co1) have a fixed unit value.

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 J_{out}/J_{in} < 1.5 The transition to the three-dimensional ordered state at $J_{out}/J_{in} = 1.5$ coincides with the disappearance of internal degrees of freedom in the bipyramidal clusters.

The obtained results clearly demonstrate that the behavior of the system is very sensitive to the J_{out}/J_{in} ratio. Varying the strength of trigonal distortions by doping or by the application of external pressure as well as by selective substitutions of cobalt ions in the triangular or Kagome sublattices, should be effective ways to vary this ratio and tune the nature of spin correlations in the system.

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